



DEPARTMENT OF THE NAVY

OFFICE OF THE SECRETARY

WASHINGTON, D.C. 20350-1000

SECNAVINST 5710.23B

ASN(RD&A)/IP

18 December 1992

SECNAV INSTRUCTION 5710.23B

From: Secretary of the Navy

Subj: IMPLEMENTATION OF, AND COMPLIANCE WITH, ARMS CONTROL AGREEMENTS, AND CONFIDENCE AND SECURITY BUILDING MEASURES

Ref: (a) DOD Directive 2060.1 of 31 Jul 92 (NOTAL)

Encl: (1) List of Arms Control Treaties and Agreements

1. Purpose To establish procedures for the Department of Navy (DON) implementation and compliance with applicable arms control treaties and agreements covering, but not necessarily limited to, strategic arms, nuclear testing, chemical and biological warfare, and measures to reduce the outbreak of war.

2. Cancellation SECNAV Instruction 5710.23A.

3. Background Reference (a) requires the Secretary of the Navy to ensure compliance with arms control agreements; provide advice and assistance on implementation, compliance, and interpretation of arms control agreements; establish detailed procedures for implementation of, and compliance with, arms control agreements; designate an implementation and compliance review manager; provide periodic reports on arms control compliance; and seek appropriate approval on any questionable arms control issues.

4. Definitions An illustrative list of DON arms control treaties and agreements is at enclosure (1).

5. Policy and Procedures It is DON policy to ensure that current and anticipated arms control compliance requirements, obligations, and constraints are considered as an integral part of the policy planning, operations, and acquisition process. Current and future arms control treaties and agreements may affect mission force structure, deployments, operations, and budgets, and may place significant limitations on research, development, and implementation of Navy programs. If not properly addressed, arms control constraints could lead to inadvertent non-compliance, waste of resources, and international incidents.



0 5 7 9 L D 0 5 6 1 5 6 0

6. Responsibilities

a. The Assistant Secretary of the Navy (Research, Development and Acquisition) (ASN(RD&A)) shall be responsible for managing the implementation and compliance process for current and future arms control agreements. All relevant Navy and Marine Corps acquisition programs will be reviewed for compliance with arms control treaties and agreements.

b. The Deputy Chief of Naval Operations (Plans, Policy, and Operations) (DCNO(PPO)) and the Deputy Chief of Staff of the Marine Corps (Plans, Policy, and Operations) (DCS(PPO)) shall be responsible, through the Joint Staff, for forming DON policy positions during current and future arms control negotiations, and for operational input to Navy implementation, compliance, and planning activities.

7. Action

a. The ASN(RD&A) is the DON implementation and compliance manager for arms control agreements and shall:

(1) Designate a System Command to be executive agent for each arms control agreement or treaty to oversee the conduct necessary for daily planning and coordination for DON.

(2) Establish a Navy Arms Control Review Board (NACRB) to review DON's implementation of and compliance with treaties and agreements.

(3) Ensure that all relevant Navy and Marine Corps weapon systems and equipment programs related to weapon or equipment systems are reviewed and certified prior to milestone reviews, and continue to be compliant with arms control treaties and agreements.

(4) Submit, as requested, reports of compliance with arms control treaties and agreements to Under Secretary of Defense (Acquisition) (USD(A)).

(5) Designate a point of contact to represent DON at Treaty Compliance Review Group (CRG) meetings and treaty Implementation Working Group (IWG) meetings, and for any interaction with USD(A).

18 DEC 1992

b. The Vice Chief of Naval Operations and the Assistant Commandant of the Marine Corp shall designate a funding resource sponsor for arms control implementation.

c. The DCNO(PPO) and the DCS(PPO) shall:

(1) Coordinate the development of arms control policy, as appropriate, with ASN(RD&A), the funding resource sponsor, and executive agents.

(2) Provide Navy and Marine Corp policy positions on arms control through the Joint Staff.

d. The funding sponsor shall:

(1) Ensure that adequate funds are programmed to support Navy compliance with arms control treaties and agreements.

(2) Provide a representative to the NACRB as appropriate.

e. The NACRB shall:

(1) Ensure a DON review process for arms control treaty and agreement compliance and implementation issues is established.

(2) Conduct reviews of arms control agreement implementation and compliance issues and provide recommendations to ASN(RD&A) as appropriate.

(3) Ensure arms control compliance certification of DON weapons, equipment programs, and activities to appropriate OSD and Navy forums.

(4) Review emerging DON operational requirements for arms control compliance and implementation effects prior to Milestone 0 decisions.

(5) Review arms control related DON implementation and compliance plans, programs, and budgets of Executive agents.

(6) Membership: DASN(RD&A)/International Policy, chairperson; representatives from DCNO(PPO), DCS(PPO), JAG, funding sponsors, designated executive agents, and other DoN representatives as may be appropriate to the specific treaty or issue the NACRB may address.

18 DEC 1992

f. Executive Agents shall:

(1) Establish and execute implementation and compliance plans and procedures to ensure that DON can and will comply with the obligations of existing and future treaties, including actions necessary to meet milestones and treaty entry into force dates.

(2) Identify and coordinate with program managers of affected acquisition programs or research efforts, and with the operating forces and shore establishments of the DON, to ensure that plans, programs, and budgets for those activities are compliant with treaty requirements under the executive agent's cognizance.

(3) Budget for, and justify through the DON process, all DON resources necessary to carry out the executive agent responsibilities for treaty implementations assigned under their cognizance.

(4) Submit, as required, treaty compliance reports to ASN(RD&A).

(5) Conduct preliminary program compliance reviews to include certification recommendations for the NACRB.

(6) Provide secretariat services to the NACRB.

8. Report The reporting requirement contained in paragraph 7f(4) is assigned symbol DD-ACQ(AR)1229(5710) and is approved for 3 years from the date of this instruction.



Dan Howard
Under Secretary of the Navy

Distribution:

SNL A6 CMC (10)

MARCOPRS PCN 72000014500

Copy to:

SNL A1 (Immediate Offices of the Secretary)
(ASN(RD&A), only)

B1B (Offices of the Secretary of Defense)
(USD(A), only)

18 DEC 1992

Copy to: (Continued)

C25A (OPNAV Support Activity Detachment)
(Ft. Ritchie, only)

FKA1 (Systems Commands)

FKQ6 (Research and Development Activities)

Ns 09, 091, 095, 8, 86, 87, 88, 6, 3/5, 514, 4

Program Manager
Theater Nuclear Warfare Program Office
(PMS-423)
Navy Department
Washington, D.C. 20360

Director
Strategic Systems Program Office
Navy Department
Washington, D.C. 20360

SECNAV/OPNAV Directives
Control Office
Washington Navy Yard, Bldg. 200
Washington D.C. 20374-5074 (30 copies)

Stocked:
Naval Aviation Supply Office
Physical Distribution Division, Code 103
5801 Tabor Avenue
Philadelphia, PA 19120-5099 (20 copies)

SECNAVINST 5710.23B
18 DEC 1992

DON Arms Control Treaties and Agreements

1. Anti-Ballistic Missile (ABM) Treaty and Protocols
2. Strategic Arms Reduction Treaty (START)
3. Intermediate Nuclear Force (INF) reduction Treaty
4. Antarctic Treaty
5. Seabed Treaty
6. Biological Weapons Convention
7. Geneva Protocol on Chemical Weapons
8. Ballistic Missile Launch Notification Agreement
9. Conventional Force Europe (CFE)
10. Chemical Weapons Convention (CWC) (includes Wyoming MOU and Bilateral, and Multilateral agreements)
11. Open Skies Agreement
12. Confidence and Security Building (CSBM) Agreements (Helsinki Final Accord, Vienna documents of 1990 and 1991)
13. Nuclear Testing Talks (NTT)

Enclosure (1)